SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year) 11/28/2017		nent	3. Issuer Name and Ticker or Trading Symbol <u>Syndax Pharmaceuticals Inc</u> [SNDX]					
(Last) (First) (Middle) ONE SANSOME STREET 30TH FLOOR			4. Relationship of Reporting Pers (Check all applicable) Director X	10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street) SAN FRANCISCO CA 94104			Officer (give title below)	Other (spe below)	·		able Line) Form filed b	t/Group Filing (Check y One Reporting Person y More than One erson
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownersh Form: Direct or Indirect (Instr. 5)	ct (D) (4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock, \$0.0001 par value per share ⁽¹⁾			1,603,416	D ⁽²⁾				
Common Stock, \$0.0001 par value per share ⁽¹⁾			1,023,884	D ⁽³⁾				
Common Stock, \$0.0001 par value per share ⁽¹⁾	Table !! .		273,784	D ⁽⁴⁾				
(e			ve Securities Beneficially ants, options, convertible		s)			
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable ar Expiration Date (Month/Day/Year)		d 3. Title and Amount of Secur Underlying Derivative Securi			ise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiratio Date	n Title	Amount or Number of Shares	Derivati Security	ve	or Indirect (I) (Instr. 5)	
1. Name and Address of Reporting Person [*] BVF PARTNERS L P/IL								
(Last) (First) (Middle ONE SANSOME STREET 30TH FLOOR)							
(Street) SAN FRANCISCO CA 94104								
(City) (State) (Zip)								
1. Name and Address of Reporting Person [*] BIOTECHNOLOGY VALUE FUND	<u>L P</u>							
(Last) (First) (Middle ONE SANSOME STREET 30TH FLOOR)							
(Street) SAN FRANCISCO CA 94104								
(City) (State) (Zip)								
1. Name and Address of Reporting Person* BIOTECHNOLOGY VALUE FUND	<u>II LP</u>							
(Last) (First) (Middle ONE SANSOME STREET))							

30TH FLOOR							
(Street) SAN FRANCISCO	CA	94104					
(City)	(State)	(Zip)					
1. Name and Address of Reporting Person [*] Biotechnology Value Trading Fund OS LP							
(Last) P.O. BOX 309 UGL	(First) AND HOUSE	(Middle)					
(Street) GRAND CAYMAN	E9	KY1-1104					
(City)	(State)	(Zip)					
1. Name and Address of BVF Partners O							
(Last) P.O. BOX 309 UGL	(First) AND HOUSE	(Middle)					
(Street) GRAND CAYMAN	E9	KY1-1104					
(City)	(State)	(Zip)					
1. Name and Address of BVF INC/IL	Reporting Person*						
(Last) ONE SANSOME ST 30TH FLOOR	(First) TREET	(Middle)					
(Street) SAN FRANCISCO	CA	94104					
(City)	(State)	(Zip)					
1. Name and Address of LAMPERT MA							
(Last) ONE SANSOME ST 30TH FLOOR	(First) TREET	(Middle)					
(Street) SAN FRANCISCO	CA	94104					
(City)	(State)	(Zip)					

Explanation of Responses:

1. This Form 3 is filed jointly by Biotechnology Value Fund, L.P. ("BVF"), Biotechnology Value Fund II, L.P. ("BVF2"), Biotechnology Value Trading Fund OS LP ("Trading Fund OS"), BVF Partners OS Ltd. ("Partners OS"), BVF Partners L.P. ("Partners"), BVF Inc. and Mark N. Lampert (collectively, the "Reporting Persons"). Each of the Reporting Persons is a member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the shares of Common Stock reported herein except to the extent of his or its pecuniary interest therein.

2. Shares of Common Stock owned directly by BVF. As the general partner of BVF, Partners may be deemed to beneficially own the shares of Common Stock owned directly by BVF. As the investment adviser and general partner of Partners, BVF Inc., may be deemed to beneficially own the shares of Common Stock owned directly by BVF. As a director and officer of BVF Inc., Mr. Lampert may be deemed to beneficially own the shares of Common Stock owned directly by BVF.

3. Shares of Common Stock owned directly by BVF2. As the general partner of BVF2, Partners may be deemed to beneficially own the shares of Common Stock owned directly by BVF2. As the investment adviser and general partner of Partners, BVF Inc. may be deemed to beneficially own the shares of Common Stock owned directly by BVF2. As a director and officer of BVF Inc., Mr. Lampert may be deemed to beneficially own the shares of Common Stock owned directly by BVF2.

4. Shares of Common Stock owned directly by Trading Fund OS. As the general partner of Trading Fund OS, Partners OS may be deemed to beneficially own the shares of Common Stock owned directly by Trading Fund OS. As the investment manager of Trading Fund OS and the sole member of Partners OS, Partners may be deemed to beneficially own the shares of Common Stock owned directly by Trading Fund OS. As the investment adviser and general partner of Partners, BVF Inc. may be deemed to beneficially own the shares of Common Stock owned directly by Trading Fund OS. As the investment adviser and general partner of Partners, BVF Inc. may be deemed to beneficially own the shares of Common Stock owned directly by Trading Fund OS. As a director and officer of BVF Inc., Mr. Lampert may be deemed to beneficially own the shares of Common Stock owned directly by Trading Fund OS.

<u>BVF Partners L.P., By: BVF</u>	
Inc., its general partner, By: /s/	<u>12/08/2017</u>
<u>Mark N. Lampert, President</u>	
Biotechnology Value Fund,	<u>12/08/2017</u>

L.P., By: BVF Partners L.P., its general partner, By: BVF Inc., its general partner, By: /s/ Mark N. Lampert, President	
Biotechnology Value Fund II, L.P., By: BVF Partners L.P., its general partner, By: BVF Inc., its general partner, By: /s/ Mark N. Lampert, President	<u>12/08/2017</u>
<u>BVF Partners OS Ltd., By:</u> <u>BVF Partners L.P., its sole</u> <u>member, By: BVF Inc., its</u> <u>general partner, By: /s/ Mark</u> <u>N. Lampert, President</u>	<u>12/08/2017</u>
Biotechnology Value Trading Fund OS LP, By: BVF Partners L.P., its investment manager, BVF Inc., its general partner, By: /s/ Mark N. Lampert, President	<u>12/08/2017</u>
BVF Inc., By: /s/ Mark N. Lampert, President	<u>12/08/2017</u>
<u>/s/ Mark N. Lampert</u> ** Signature of Reporting Person	<u>12/08/2017</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.